This Page Is Inserted by IFW Operations and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problems Mailbox.

THIS PAGE BLANK (USPTO)

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISH	HED U	INDER THE PATENT COOPERATION TREATY (PCT)
(51) International Patent Classification 6:		(11) International Publication Number: WO 99/18220
C12N 15/62, A61K 39/12	A1	(43) International Publication Date: 15 April 1999 (15.04.99)
(21) International Application Number: PCT/US (22) International Filing Date: 6 October 1998 ((30) Priority Data: 08/944,368 6 October 1997 (06.10.97) (71) Applicant (for all designated States except US): UNIVERSITY OF CHICAGO [US/US]; 820 Norgan Avenue, Chicago, IL 60611 (US). (72) Inventors; and (75) Inventors/Applicants (for US only): GISSMAN [DE/DE]; Pirolweg 1, D-69168 Wiesloch (DE). Martin [DE/US]; 1351 North Hoyne, Chicago, (US). (74) Agent: WILLIAMS, Joseph, A., Jr.; Marshall, Gerstein, Murray & Borun, 6300 Sears Tower, Wacker Drive, Chicago, IL 60606-6402 (US).	LOYOL th Mich	BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, HR, HU, ID, IL, IS, IP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG). Published With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.
(54) Title: PAPILLOMA VIRUS CAPSOMERE VACCI	INE FO	RMULATIONS AND METHODS OF USE
(57) Abstract		
Vaccine formulations comprising viral capsomeres at methods of use for the vaccine formulations are also disci	re discle losed.	osed along with methods for their production. Therapeutic and prophylactic

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AΤ	Austria	FR	France	LU	Luxembourg	SN	Senegal
ΑÜ	Australia	GA	Gabon	LV	Latvia	S7.	Swaziland
ΑZ	Azerbaijan	GB	United Kingdom	MC	Мопасо	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Maii	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	U2	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
СН	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
Cl	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
Cυ	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

10

15

20

25

-1-

PAPILLOMA VIRUS CAPSOMERE VACCINE FORMULATIONS AND METHODS OF USE

FIELD OF THE INVENTION

The present invention relates to vaccine formulations

comprising papilloma virus proteins, either as fusion proteins, truncated proteins, or truncated fusion proteins. The invention further embraces methods for producing capsomeres of the formulations, as well as prophylactic and therapeutic methods for their use.

BACKGROUND

Infections with certain high-risk strains of genital papilloma viruses in humans (HPV) -- for example. HPV 16, 18, or 45 -- are believed to be the main risk factor for the formation of malignant tumors of the anogenital tract. Of the possible malignancies, cervical carcinoma is by far the most frequent: according to an estimate by the World Health Organization (WHO). almost 500,000 new cases of the disease occur annually. Because of the frequency with which this pathology occurs, the connection between HPV infection and cervical carcinoma has been extensively examined, leading to numerous generalizations.

For example, precursor lesions of cervical intraepithelial neoplasia (CIN) are known to be caused by papilloma virus infections [Crum, New Eng. J. Med. 310:880-883 (1984)]. DNA from the genomes of certain HPV types, including for example, strains 16, 18, 33, 35, and 45, have been detected in more than 95% of tumor biopsies from patients with this disorder, as well as in primary cell lines cultured from the tumors. Approximately 50 to 70% of the biopsied CIN tumor cells have been found to include DNA derived only from HPV 16.

The protein products of the HPV 16 and HPV 18 early genes E6 and E7 have been detected in cervical carcinoma cell lines as well as in

5

by BPV VLPs. The mouse sera was therefore positive for neutralizing antibodies against the human VLPs and this differential neutralization was most likely the result of antibody specificity for epitopes against which the antibodies were raised.

Numerous modifications and variations in the invention as set forth in the above illustrative examples are expected to occur to those skilled in the art. Consequently only such limitations as appear in the appended claims should be placed on the invention.

PCT/US98/20965 WO 99/18220

- 28 -

SEQUENCE LISTING

 GENERAL II 	NFORMATION:
--------------------------------	-------------

- (i) APPLICANT:
- (ii) TITLE OF INVENTION: Papilloma Virus Capsomere Vaccine Formulations and Methods of Use
 - (iii) NUMBER OF SEQUENCES: 27
 - (iv) CORRESPONDENCE ADDRESS:
 - (A) ADDRESSEE: Marshall, O'Toole, Gerstein, Murray & Borun
 - (B) STREET: 233 South Wacker Drive, 6300 Sears Tower
 - (C) CITY: Chicago
 - (D) STATE: Illinois
 - (E) COUNTRY: United States of America
 - (F) ZIP: 60606-6402
 - (v) COMPUTER READABLE FORM:
 - (A) MEDIUM TYPE: Floppy disk

 - (B) COMPUTER: IBM PC compatible (C) OPERATING SYSTEM: PC-DOS/MS-DOS
 - (D) SOFTWARE: PatentIn Release #1.0, Version #1.30
 - (vi) CURRENT APPLICATION DATA:
 - (A) APPLICATION NUMBER:

 - (B) FILING DATE: (C) CLASSIFICATION:
 - (viii) ATTORNEY/AGENT INFORMATION:
 - (A) NAME: Williams Jr., Joseph A.
 - (B) REGISTRATION NUMBER: 38,659
 - (C) REFERENCE/DOCKET NUMBER: 27013/34028
 - (ix) TELECOMMUNICATION INFORMATION:
 - (A) TELEPHONE: 312-474-6300 (B) TELEFAX: 312-474-0448
- (2) INFORMATION FOR SEQ ID NO:1:
 - (i) SEQUENCE CHARACTERISTICS:
 - (A) LENGTH: 1518 base pairs
 - (B) TYPE: nucleic acid
 - (C) STRANDEDNESS: single
 - (D) TOPOLOGY: linear
 - (ii) MOLECULE TYPE: DNA (genomic)
 - (ix) FEATURE:
 - (A) NAME/KEY: CDS
 - (B) LOCATION: 1..1518
 - (xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:
- ATG TCT CTT TGG CTG CCT AGT GAG GCC ACT GTC TAC TTG CCT CCT GTC 48 Met Ser Leu Trp Leu Pro Ser Glu Ala Thr Val Tyr Leu Pro Pro Val
- CCA GTA TCT AAG GTT GTA AGC ACG GAT GAA TAT GTT GCA CGC ACA AAC 96 Pro Val Ser Lys Val Val Ser Thr Asp Glu Tyr Val Ala Arg Thr Asn 25

- 29 -

ATA Ile	TA:	TAY TY:	r urs	r GCA s Ala	A GGZ A Gly	ACA Thr	TCC Ser 40	Arg	CTA Leu	CTT Leu	GCA Ala	GTT Val 45	GGA Gly	CAT His	CCC Pro	144
ТАТ Туз	TTT Phe 50		TATI o Ile	C AA	AAA Lys	CCT Pro	ASD	AAT Asn	AAC Asn	AAA Lys	ATA Ile 60	Leu	GTT Val	CCT Pro	AAA Lys	192
GTA Val 65	. 361	GGA Gly	TTA Leu	A CAP 1 Gln	TAC Tyr 70	AGG Arg	GTA Val	TTT Phe	AGA Arg	ATA Ile 75	CAT	TTA Leu	CCT Pro	GAC Asp	CCC Pro 80	240
AAT Asn	AAG Lys	TTI Phe	GGT Gly	TTT Phe 85	PIC	GAC Asp	ACC Thr	TCA Ser	TTT Phe 90	TAT Tyr	AAT Asn	CCA Pro	GAT Asp	ACA Thr 95	CAG Gln	288
AIG	Dea	vai	100	HIA	Cys	GTA Val	GIY	Val 105	Glu	Val	Gly	Arg	Gly 110	Gln	Pro	336
пеп	GIY	115	Gly	TIE	ser	GGC Gly	H1S 120	Pro	Leu	Leu	Asn	Lys 125	Leu	Asp	Asp	384
	130	ASII	Ala	Sel	Ala	TAT Tyr 135	Ala	Ala	Asn	Ala	Gly 140	Val	Asp	Asn	Arg	432
145	Cys	116	sei	Met	150	TAC Tyr	Lys	Gln	Thr	Gln 155	Leu	Cys	Leu	Ile	Gly 160	480
Cys	пуъ	PIO	PIO	165	GIY	GAA Glu	HIS	Trp	Gly 170	Lys	Gly	Ser	Pro	Cys 175	Thr	528
AAT Asn	GTT Val	GCA Ala	GTA Val 180	AAT Asn	CCA Pro	GGT Gly	GAT Asp	TGT Cys 185	CCA Pro	CCA Pro	TTA Leu	GAG Glu	TTA Leu 190	ATA Ile	AAC Asn	576
ACA Thr	GTT Val	ATT Ile 195	CAG Gln	GAT Asp	GGT Gly	GAT Asp	ATG Met 200	GTT Val	GAT Asp	ACT Thr	GGC Gly	TTT Phe 205	GGT Gly	GCT Ala	ATG Met	624
GAC Asp	TTT Phe 210	ACT Thr	ACA Thr	TTA Leu	CAG Gln	GCT Ala 215	AAC Asn	AAA Lys	AGT Ser	GAA Glu	GTT Val 220	CCA Pro	CTG Leu	GAT Asp	ATT Ile	672
TGT Cys 225	ACA Thr	TCT Ser	ATT Ile	TGC Cys	AAA Lys 230	TAT Tyr	CCA Pro	GAT Asp	TAT Tyr	ATT Ile 235	AAA Lys	ATG Met	GTG Val	TCA Ser	GAA Glu 240	720
CCA Pro	TAT Tyr	GGC Gly	GAC Asp	AGC Ser 245	TTA Leu	TTT Phe	TTT Phe	TAT Tyr	TTA Leu 250	CGA Arg	AGG Arg	GAA Glu	CAA Gln	ATG Met 255	TTT Phe	768
GTT Val	AGA Arg	1173	TTA Leu 260	TTT Phe	AAT Asn	AGG Arg	GCT Ala	GGT Gly 265	GCT Ala	GTT Val	GGT Gly	GAA Glu	AAT Asn 270	GTA Val	CCA Pro	816
GAC Asp	vaħ	TTA Leu 275	TAC Tyr	ATT Ile	AAA Lys	GGC Gly	TCT Ser 280	GGG Gly	TCT Ser	ACT Thr	Ala	AAT Asn	TTA Leu	GCC Ala	AGT Ser	864

- 30 -

TCA Ser	AAT Asn 290	TAT Tyr	TTT Phe	CCT Pro	ACA Thr	CCT Pro 295	AGT Ser	GGT Gly	TCT Ser	ATG Met	GTT Val 300	ACC Thr	TCT Ser	GAT Asp	GCC Ala	912	
CAA Gln 305	ATA Ile	TTC Phe	AAT Asn	AAA Lys	CCT Pro 310	TAT Tyr	TGG Trp	TTA Leu	CAA Gln	CGA Arg 315	GCA Ala	CAG Gln	GGC Gly	CAC His	AAT Asn 320	960	
AAT Asn	GGC Gly	ATT Ile	TGT Cys	TGG Trp 325	GGT Gly	AAC Asn	CAA Gln	CTA Leu	TTT Phe 330	GTT Val	ACT Thr	GTT Val	GTT Val	GAT Asp 335	ACT Thr	1008	
ACA Thr	CGC Arg	AGT Ser	ACA Thr 340	AAT Asn	ATG Met	TCA Ser	TTA Leu	TGT Cys 345	GCT Ala	GCC Ala	ATA Ile	TCT Ser	ACT Thr 350	TCA Ser	GAA Glu	1056	
ACT Thr	ACA Thr	TAT Tyr 355	AAA Lys	AAT Asn	ACT Thr	AAC Asn	TTT Phe 360	AAG Lys	GAG Glu	TAC Tyr	CTA Leu	CGA Arg 365	CAT His	GGG Gly	GAG Glu	1104	
GAA Glu	TAT Tyr 370	GAT Asp	TTA Leu	CAG Gln	TTT Phe	ATT Ile 375	TTT Phe	CAA Gln	CTG Leu	TGC Cys	AAA Lys 380	ATA Ile	ACC Thr	TTA Leu	ACT Thr	1152	
GCA Ala 385	GAC Asp	GTT Val	ATG Met	ACA Thr	TAC Tyr 390	ATA Ile	CAT His	TCT Ser	ATG Met	AAT Asn 395	TCC Ser	ACT Thr	ATT	TTG Leu	GAG Glu 400	1200	
GAC Asp	TGG Trp	AAT Asn	TTT Phe	GGT Gly 405	CTA Leu	CAA Gln	CCT Pro	CCC Pro	CCA Pro 410	GGA Gly	GGC Gly	ACA Thr	CTA Leu	GAA Glu 415	GAT Asp	1248	
ACT Thr	TAT Tyr	AGG Arg	TTT Phe 420	GTA Val	ACC Thr	TCC Ser	CAG Gln	GCA Ala 425	ATT	GCT Ala	TGT Cys	CAA Gln	AAA Lys 430	CAT His	ACA Thr	1296	
CCT Pro	CCA Pro	GCA Ala 435	CCT Pro	AAA Lys	GAA Glu	GAT Asp	CCC Pro 440	CTT Leu	AAA Lys	AAA Lys	TAC Tyr	ACT Thr 445	TTT	TGG Trp	GAA Glu	1344	
GTA Val	AAT Asn 450	TTA Leu	AAG Lys	GAA Glu	AAG Lys	TTT Phe 455	TCT Ser	GCA Ala	GAC Asp	CTA Leu	GAT Asp 460	CAG Gln	TTT Phe	CCT Pro	TTA Leu	1392	
GGA Gly 465	CGC Arg	AAA Lys	TTT Phe	TTA Leu	CTA Leu 470	CAA Gln	GCA Ala	GGA Gly	TTG Leu	AAG Lys 475	GCC Ala	AAA Lys	CCA Pro	AAA Lys	TTT Phe 480	.1440)
ACA Thr	TTA Leu	GGA Gly	AAA Lys	CGA Arg 485	AAA Lys	GCT Ala	ACA Thr	CCC Pro	ACC Thr 490	ACC Thr	TCA Ser	TCT Ser	ACC Thr	TCT Ser 495	ACA Thr	1488	}
ACT Thr	GCT Ala	AAA Lys	CGC Arg 500	AAA Lys	AAA Lys	CGT Arg	AAG Lys	CTG Leu 505	TAA *							1518	3

(2) INFORMATION FOR SEQ ID NO:2:

- (i) SEQUENCE CHARACTERISTICS:
 (A) LENGTH: 506 amino acids
 (B) TYPE: amino acid
 (D) TOPOLOGY: linear

- 31 -

(ii) MOLECULE TYPE: protein

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:2:

Met Ser Leu Trp Leu Pro Ser Glu Ala Thr Val Tyr Leu Pro Pro Val Pro Val Ser Lys Val Val Ser Thr Asp Glu Tyr Val Ala Arg Thr Asn Ile Tyr Tyr His Ala Gly Thr Ser Arg Leu Leu Ala Val Gly His Pro Tyr Phe Pro Ile Lys Lys Pro Asn Asn Lys Ile Leu Val Pro Lys Val Ser Gly Leu Gln Tyr Arg Val Phe Arg Ile His Leu Pro Asp Pro 65 70 75 80 Asn Lys Phe Gly Phe Pro Asp Thr Ser Phe Tyr Asn Pro Asp Thr Gln Arg Leu Val Trp Ala Cys Val Gly Val Glu Val Gly Arg Gly Gln Pro Leu Gly Val Gly Ile Ser Gly His Pro Leu Leu Asn Lys Leu Asp Asp Thr Glu Asn Ala Ser Ala Tyr Ala Ala Asn Ala Gly Val Asp Asn Arg Glu Cys Ile Ser Met Asp Tyr Lys Gln Thr Gln Leu Cys Leu Ile Gly Cys Lys Pro Pro Ile Gly Glu His Trp Gly Lys Gly Ser Pro Cys Thr Asn Val Ala Val Asn Pro Gly Asp Cys Pro Pro Leu Glu Leu Ile Asn 185 Thr Val Ile Gln Asp Gly Asp Met Val Asp Thr Gly Phe Gly Ala Met Asp Phe Thr Thr Leu Gln Ala Asn Lys Ser Glu Val Pro Leu Asp Ile Cys Thr Ser Ile Cys Lys Tyr Pro Asp Tyr Ile Lys Met Val Ser Glu Pro Tyr Gly Asp Ser Leu Phe Phe Tyr Leu Arg Arg Glu Gln Met Phe Val Arg His Leu Phe Asn Arg Ala Gly Ala Val Gly Glu Asn Val Pro Asp Asp Leu Tyr Ile Lys Gly Ser Gly Ser Thr Ala Asn Leu Ala Ser 280 Ser Asn Tyr Phe Pro Thr Pro Ser Gly Ser Met Val Thr Ser Asp Ala

WO 99/18220 PCT/US98/20965

- 32 -

Gln Ile Phe Asn Lys Pro Tyr Trp Leu Gln Arg Ala Gln Gly His Asn Asn Gly Ile Cys Trp Gly Asn Gln Leu Phe Val Thr Val Val Asp Thr 330 Thr Arg Ser Thr Asn Met Ser Leu Cys Ala Ala Ile Ser Thr Ser Glu 345 Thr Thr Tyr Lys Asn Thr Asn Phe Lys Glu Tyr Leu Arg His Gly Glu 360 Glu Tyr Asp Leu Gln Phe Ile Phe Gln Leu Cys Lys Ile Thr Leu Thr Ala Asp Val Met Thr Tyr Ile His Ser Met Asn Ser Thr Ile Leu Glu Asp Trp Asn Phe Gly Leu Gln Pro Pro Pro Gly Gly Thr Leu Glu Asp 405 Thr Tyr Arg Phe Val Thr Ser Gln Ala Ile Ala Cys Gln Lys His Thr Pro Pro Ala Pro Lys Glu Asp Pro Leu Lys Lys Tyr Thr Phe Trp Glu Val Asn Leu Lys Glu Lys Phe Ser Ala Asp Leu Asp Gln Phe Pro Leu Gly Arg Lys Phe Leu Leu Gln Ala Gly Leu Lys Ala Lys Pro Lys Phe Thr Leu Gly Lys Arg Lys Ala Thr Pro Thr Thr Ser Ser Thr Ser Thr Thr Ala Lys Arg Lys Lys Arg Lys Leu

(2) INFORMATION FOR SEQ ID NO:3:

- (i) SEQUENCE CHARACTERISTICS:
 - (A) LENGTH: 297 base pairs
 - (B) TYPE: nucleic acid
 - (C) STRANDEDNESS: single
 - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: DNA
- (ix) FEATURE:
 - (A) NAME/KEY: CDS
 - (B) LOCATION: 1..297
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:3:
- ATG CAT GGA GAT ACA CCT ACA TTG CAT GAA TAT ATG TTA GAT TTG CAA

 Met His Gly Asp Thr Pro Thr Leu His Glu Tyr Met Leu Asp Leu Gln

 1 5 10
- CCA GAG ACA ACT GAT CTC TAC TGT TAT GAG CAA TTA AAT GAC AGC TCA
 Pro Glu Thr Thr Asp Leu Tyr Cys Tyr Glu Gln Leu Asn Asp Ser Ser
 20 25 30

- 33 -

GAG Glu	GAG Glu	GAG Glu 35	GAT Asp	GAA Glu	ATA Ile	GAT Asp	GGT Gly 40	CCA Pro	GCT Ala	GGA Gly	CAA Gln	GCA Ala 45	GAA Glu	CCG Pro	GAC Asp	144
AGA Arg	GCC Ala 50	CAT His	TAC Tyr	AAT Asn	ATT Ile	GTA Val 55	ACC Thr	TTT Phe	TGT Cys	TGC Cys	AAG Lys 60	TGT Cys	GAC Asp	TCT Ser	ACG Thr	192
CTT Leu 65	CGG Arg	TTG Leu	TGC Cys	GTA Val	CAA Gln 70	AGC Ser	ACA Thr	CAC His	GTA Val	GAC Asp 75	ATT Ile	CGT Arg	ACT Thr	TTG Leu	GAA Glu 80	24(
GAC Asp	CTG Leu	TTA Leu	ATG Met	GGC Gly 85	ACA Thr	CTA Leu	GGA Gly	ATT Ile	GTG Val 90	TGC Cys	CCC Pro	ATC Ile	TGT Cys	TCT Ser 95	CAG Gln	288
AAA Lys	CCA Pro	TAA *														297

- (2) INFORMATION FOR SEQ ID NO:4:
 - (i) SEQUENCE CHARACTERISTICS:
 - (A) LENGTH: 98 amino acids
 - (B) TYPE: amino acid
 - (D) TOPOLOGY: linear
 - (ii) MOLECULE TYPE: protein
 - (xi) SEQUENCE DESCRIPTION: SEQ ID NO:4:

Met His Gly Asp Thr Pro Thr Leu His Glu Tyr Met Leu Asp Leu Gln
1 5 10 15

Pro Glu Thr Thr Asp Leu Tyr Cys Tyr Glu Gln Leu Asn Asp Ser Ser 20 25 30

Glu Glu Asp Glu Ile Asp Gly Pro Ala Gly Gln Ala Glu Pro Asp 35 40 45

Arg Ala His Tyr Asn Ile Val Thr Phe Cys Cys Lys Cys Asp Ser Thr 50 60

Leu Arg Leu Cys Val Gln Ser Thr His Val Asp Ile Arg Thr Leu Glu 65 70 75 80

Asp Leu Leu Met Gly Thr Leu Gly Ile Val Cys Pro Ile Cys Ser Gln

Lys Pro *

- (2) INFORMATION FOR SEQ ID NO:5:
 - (i) SEQUENCE CHARACTERISTICS:
 - (A) LENGTH: 34 base pairs
 - (B) TYPE: nucleic acid
 - (C) STRANDEDNESS: single (D) TOPOLOGY: linear
 - (ii) MOLECULE TYPE: DNA
 - (xi) SEQUENCE DESCRIPTION: SEQ ID NO:5:

- 34 -

CCCCGATA	TC GCCTTTAATG TATAAATCGT CTGG	34
(2) INFO	RMATION FOR SEQ ID NO:6:	
(i)	SEQUENCE CHARACTERISTICS: (A) LENGTH: 35 base pairs (B) TYPE: nucleic acid (C) STRANDEDNESS: single (D) TOPOLOGY: linear	
(ii)	MOLECULE TYPE: DNA	
(xi)	SEQUENCE DESCRIPTION: SEQ ID NO:6:	
CCCCGATA	TC TCAAATTATT TTCCTACACC TAGTG	35
(2) INFO	RMATION FOR SEQ ID NO:7:	
(i)	SEQUENCE CHARACTERISTICS: (A) LENGTH: 40 base pairs (B) TYPE: nucleic acid (C) STRANDEDNESS: single (D) TOPOLOGY: linear	
(ii)	MOLECULE TYPE: DNA	
(xi)	SEQUENCE DESCRIPTION: SEQ ID NO:7:	
AAAGATAŤ	CT TGTAGTAAAA ATTTGCGTCC TAAAGGAAAC	40
(2) INFO	RMATION FOR SEQ ID NO:8:	
(2)	SEQUENCE CHARACTERISTICS: (A) LENGTH: 44 base pairs (B) TYPE: nucleic acid (C) STRANDEDNESS: single (D) TOPOLOGY: linear	
(ii)	MOLECULE TYPE: DNA	
(xi)	SEQUENCE DESCRIPTION: SEQ ID NO:8:	
AAAGATAT	CT AATCTACCTC TACAACTGCT AAACGCAAAA AACG	44
(2) INFO	RMATION FOR SEQ ID NO:9:	
(i)	SEQUENCE CHARACTERISTICS: (A) LENGTH: 35 base pairs (B) TYPE: nucleic acid (C) STRANDEDNESS: single (D) TOPOLOGY: linear	
(ii)	MOLECULE TYPE: DNA	
(xi)	SEQUENCE DESCRIPTION: SEQ ID NO:9:	
AAAAGATA	TC ATGCATGGAG ATACACCTAC ATTGC	35
(2) INFO	RMATION FOR SEQ ID NO:10:	
(i)	SEQUENCE CHARACTERISTICS:	

(B) TYPE: nucleic acid (C) STRANDEDNESS: single (D) TOPOLOGY: linear	
(ii) MOLECULE TYPE: DNA	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO:10:	
TTTTGATATC GGCTCTGTCC GGTTCTGCTT GTCC	34
(2) INFORMATION FOR SEQ ID NO:11:	
(i) SEQUENCE CHARACTERISTICS: (A) LENGTH: 44 base pairs (B) TYPE: nucleic acid (C) STRANDEDNESS: single (D) TOPOLOGY: linear	
(ii) MOLECULE TYPE: DNA	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO:11:	
TTTTGATATC CTTGCAACAA AAGGTTACAA TATTGTAATG GGCC	44
(2) INFORMATION FOR SEQ ID NO:12:	
(i) SEQUENCE CHARACTERISTICS: (A) LENGTH: 35 base pairs (B) TYPE: nucleic acid (C) STRANDEDNESS: single (D) TOPOLOGY: linear	
(ii) MOLECULE TYPE: DNA	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO:12:	
AAAAGATATC TGGTTTCTGA GAACAGATGG GGCAC	35
(2) INFORMATION FOR SEQ ID NO:13:	
 (i) SEQUENCE CHARACTERISTICS: (A) LENGTH: 38 base pairs (B) TYPE: nucleic acid (C) STRANDEDNESS: single (D) TOPOLOGY: linear 	
(ii) MOLECULE TYPE: DNA	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO:13:	
TTTTGATATC GATTATGAGC AATTAAATGA CAGCTCAG	38
(2) INFORMATION FOR SEQ ID NO:14:	
(i) SEQUENCE CHARACTERISTICS: (A) LENGTH: 35 base pairs (B) TYPE: nucleic acid (C) STRANDEDNESS: single (D) TOPOLOGY: linear	
(ii) MOLECULE TYPE: DNA	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO:14:	

PCT/US98/20965

- 36 -

TTTTGATATC GTCTACGTGT GTGCTTTGTA CGCAC	35
(2) INFORMATION FOR SEQ ID NO:15:	
(i) SEQUENCE CHARACTERISTICS: (A) LENGTH: 39 base pairs (B) TYPE: nucleic acid (C) STRANDEDNESS: single (D) TOPOLOGY: linear	
(ii) MOLECULE TYPE: DNA	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO:15:	
TTTATCGATA TCGGTCCAGC TGGACAAGCA GAACCGGAC	39
(2) INFORMATION FOR SEQ ID NO:16:	
(i) SEQUENCE CHARACTERISTICS: (A) LENGTH: 39 base pairs (B) TYPE: nucleic acid (C) STRANDEDNESS: single (D) TOPOLOGY: linear	
(ii) MOLECULE TYPE: DNA	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO:16:	
TTTTGATATC GATGCCCATT ACAATATTGT AACCTTTTG	39
(2) INFORMATION FOR SEQ ID NO:17:	
(i) SEQUENCE CHARACTERISTICS: (A) LENGTH: 294 base pairs (B) TYPE: nucleic acid (C) STRANDEDNESS: single (D) TOPOLOGY: linear	
(ii) MOLECULE TYPE: DNA	
(ix) FEATURE: (A) NAME/KEY: CDS (B) LOCATION: 1294	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO:17:	
ATG AGT CTT CTA ACC GAG GTC GAA ACG CTT ACC AGA AAC GGA TGG GAG Met Ser Leu Leu Thr Glu Val Glu Thr Leu Thr Arg Asn Gly Trp Glu 1 5 10 15	48
TGC AAA TGC AGC GAT TCA AGT GAT CCT CTC ATT ATC GCA GCG AGT ATC Cys Lys Cys Ser Asp Ser Ser Asp Pro Leu Ile Ile Ala Ala Ser Ile 20 25	96
ATT GGG ATC TTG CAC TTG ATA TTG TGG ATT TTT TAT CGT CTT TTC TTC Ile Gly Ile Leu His Leu Ile Leu Trp Ile Phe Tyr Arg Leu Phe Phe 35 40 45	144
AAA TGC ATT TAT CGT CGC CTT AAA TAC GGT TTG AAA AGA GGG CCT TCT Lys Cys Ile Tyr Arg Arg Leu Lys Tyr Gly Leu Lys Arg Gly Pro Ser	192

WO 99/18220 PCT/US98/20965

									- 37	-							-
ACG Thr 65	GAA Glu	GGA Gly	GCG Ala	CCT Pro	GAG Glu 70	TCT Ser	ATG Met	AGG Arg	GAA Glu	GAA Glu 75	TAT Tyr	CGG Arg	CAG Gln	GAA Glu	CAG Gln 80		24
CAG Gln	AGT Ser	GCT Ala	GTG Val	GAT Asp 85	GTT Val	GAC Asp	GAT Asp	GTT Val	CAT His 90	TTT Phe	GTC Val	AAC Asn	ATA Ile	GAG Glu 95	CTG Leu		28
GAG Glu	TAA *																29
(2)	INFO	ORMAT	NOIT	FOR	SEQ	ID 1	۱O:18	3 :									
	(i) SEQUENCE CHARACTERISTICS: (A) LENGTH: 97 amino acids (B) TYPE: amino acid (D) TOPOLOGY: linear																
	i)	i) N	OLEC	ULE	TYPE	: pı	otei	in									
	(x	ci) S	EQUE	NCE	DESC	RIPT	CION:	SEÇ	DI Ç	NO: 1	18:						
Met 1	Ser	Leu	Leu	Thr 5	Glu	Val	Glu	Thr	Leu 10	Thr	Arg	Asn	Gly	Trp 15	Glu		
Cys	Lys	Cys	Ser 20	Asp	Ser	Ser	Asp	Pro 25	Leu	Ile	Ile	Ala	Ala 30	Ser	Ile		

Ile Gly Ile Leu His Leu Ile Leu Trp Ile Phe Tyr Arg Leu Phe Phe

Lys Cys Ile Tyr Arg Arg Leu Lys Tyr Gly Leu Lys Arg Gly Pro Ser

Thr Glu Gly Ala Pro Glu Ser Met Arg Glu Glu Tyr Arg Gln Glu Gln

Gln Ser Ala Val Asp Val Asp Val His Phe Val Asn Ile Glu Leu 90

Glu *

- (2) INFORMATION FOR SEQ ID NO:19:
 - (i) SEQUENCE CHARACTERISTICS:
 - (A) LENGTH: 40 base pairs
 - (B) TYPE: nucleic acid
 - (C) STRANDEDNESS: single
 - (D) TOPOLOGY: linear
 - (ii) MOLECULE TYPE: DNA
 - (xi) SEQUENCE DESCRIPTION: SEQ ID NO:19:

TTTTGATATC GATATGGAAT GGCTAAAGAC AAGACCAATC

(2) INFORMATION FOR SEQ ID NO:20:

- (i) SEQUENCE CHARACTERISTICS:
 - (A) LENGTH: 35 base pairs (B) TYPE: nucleic acid

 - (C) STRANDEDNESS: single

WO 99/18220 PCT/US98/20965

- 38 -

- 30 -	
(D) TOPOLOGY: linear	
(ii) MOLECULE TYPE: DNA	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO:20:	
TTTTGATATC GTTGTTTGGA TCCCCATTCC CATTG	35
(2) INFORMATION FOR SEQ ID NO:21:	
(i) SEQUENCE CHARACTERISTICS: (A) LENGTH: 24 base pairs (B) TYPE: nucleic acid (C) STRANDEDNESS: single (D) TOPOLOGY: linear	
(ii) MOLECULE TYPE: DNA	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO:21:	
GTTATGACAT ACATACATTC TATG	24
(2) INFORMATION FOR SEQ ID NO:22:	
 (i) SEQUENCE CHARACTERISTICS: (A) LENGTH: 35 base pairs (B) TYPE: nucleic acid (C) STRANDEDNESS: single (D) TOPOLOGY: linear 	
(ii) MOLECULE TYPE: DNA	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO:22:	
CCATGCATTC CTGCTTGTAG TAAAAATTTG CGTCC	35
(2) INFORMATION FOR SEQ ID NO:23:	
(i) SEQUENCE CHARACTERISTICS: (A) LENGTH: 29 base pairs (B) TYPE: nucleic acid (C) STRANDEDNESS: single (D) TOPOLOGY: linear	
(ii) MOLECULE TYPE: DNA	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO:23:	
CTACAAGCAG GAATGCATGG AGATACACC	29
(2) INFORMATION FOR SEQ ID NO:24:	
 (i) SEQUENCE CHARACTERISTICS: (A) LENGTH: 36 base pairs (B) TYPE: nucleic acid (C) STRANDEDNESS: single (D) TOPOLOGY: linear 	·
(ii) MOLECULE TYPE: DNA	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO:24:	
CATCTGAAGC TTAGTAATGG GCTCTGTCCG GTTCTG	36

(2) INFORMATION FOR SEQ ID NO:25:	
(i) SEQUENCE CHARACTERISTICS: (A) LENGTH: 38 base pairs (B) TYPE: nucleic acid (C) STRANDEDNESS: single (D) TOPOLOGY: linear	
(ii) MOLECULE TYPE: DNA	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO:25:	
CATCTGAAGC TTATCAATAT TGTAATGGGC TCTGTCCG	3
(2) INFORMATION FOR SEQ ID NO:26:	
(i) SEQUENCE CHARACTERISTICS: (A) LENGTH: 54 base pairs (B) TYPE: nucleic acid (C) STRANDEDNESS: single (D) TOPOLOGY: linear	
(ii) MOLECULE TYPE: DNA	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO:26:	
CATCTGAAGC TTACTTGCAA CAAAAGGTTA CAATATTGTA ATGGGCTCTG TCCG	5
(2) INFORMATION FOR SEQ ID NO:27:	
(i) SEQUENCE CHARACTERISTICS: (A) LENGTH: 69 base pairs (B) TYPE: nucleic acid (C) STRANDEDNESS: single (D) TOPOLOGY: linear	
(ii) MOLECULE TYPE: DNA	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO:27:	
CATCTGAAGC TTAAAGCGTA GAGTCACACT TGCAACAAAA GGTTACAATA TTGTAATGGG	6
CTCTGTCCG	6
(2) INFORMATION FOR SEQ ID NO:28:	
(i) SEQUENCE CHARACTERISTICS: (A) LENGTH: 47 base pairs (B) TYPE: nucleic acid (C) STRANDEDNESS: single (D) TOPOLOGY: linear	
(ii) MOLECULE TYPE: DNA	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO:28:	
CATCTGAAGC TTATTGTACG CACAACCGAA GCGTAGAGTC ACACTTG	4

-

WO 99/18220 PCT/US98/20965

WHAT IS CLAIMED IS:

- 1. A vaccine formulation comprising a human papilloma virus capsomere, said capsomere comprising a fusion protein comprising a human papilloma virus L1 protein adjacent amino acid residues from a second protein.
- 2. A vaccine formulation comprising a human papilloma virus capsomere, said capsomere comprising a truncated human papilloma virus L1 protein having a deletion of one or more amino acid residues necessary for formation of a virus-like particle.
- 3. The vaccine formulation of claim 2 wherein said capsomere comprises a fusion protein comprising a truncated human papilloma virus L1 protein adjacent amino acid residues from a second protein.
- 4. The vaccine formulation of any one of claims 1,2, or 3 wherein the L1 protein is encoded in the genome of a human papilloma virus selected from the group consisting of HPV6, HPV11, HPV16, HPV18, HPV33, HPV35, and HPV45.
- 5. The vaccine formulation of claim 4 wherein the papilloma virus is HVP16.
- 6. The vaccine formulation of any one of claims 2, 3, or 5 wherein carboxy terminal amino acid residues are deleted from the L1 protein.
- 7. The vaccine formulation of claim 6 wherein 1 to 34 carboxy terminal amino acid residues are deleted from the L1 protein.

- 8. The vaccine formulation of claim 7 wherein 34 carboxy terminal amino acid residues are deleted from the L1 protein.
- 9. The vaccine formulation of any one of claims 2, 3, or 5 wherein amino terminal amino acid residues are deleted from the L1 protein.
- 10. The vaccine formulation of any one of claims 2, 3, or 5 wherein internal amino acid residues are deleted from the L1 protein.
- 11. The vaccine formulation of claim 10 wherein the amino acid residues deleted from the L1 protein comprise a nuclear localization signal.
- 12. The vaccine formulation of claims 2 or 3 wherein the amino acids residues from the second protein are derived from an HPV protein.
- 13. The vaccine formulation of claim 12 wherein the HPV protein is an early HPV protein.
- 14. The vaccine formulation of claim 12 wherein the early HPV protein is selected from the group consisting of E1, E2, E3, E4, E5, E6, and E7.
- 15. A method of treating an individual infected with an HPV virus comprising the step of administering to a patient in need thereof an amount of the vaccine formulation of claims 1, 2, 3, 5, 7, 8, 11, 13 or 14 effective to reduce the level of HPV infection.

16. A method for preventing papilloma virus infection comprising the step of administering to an individual susceptible thereto an amount of the vaccine formulation of claims 1, 2, 3, 5, 7, 8, 11, 13 or 14 effective to inhibit HPV infection.

Inter onal Application No PCT/US 98/20965

IPC 6	ification of subject matter C12N15/62 A61K39/12							
According to International Patent Classification (IPC) or to both national classification and IPC								
	SEARCHED							
Minimum documentation searched (classification system followed by classification symbols) IPC 6 C07K C12N A61K								
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched								
Electronic o	data base consulted during the international search (name of data ba	ase and, where practical, search terms used	·					
	u u							
C. DOCUMENTS CONSIDERED TO BE RELEVANT								
Category :	Citation of document, with indication, where appropriate, of the re-	levant passages	Relevant to claim No.					
χ	MÜLLER M ET AL.: "Chimeric papillomavirus-like particles" VIROLOGY.	`	1-8, 10-16					
	vol. 234, no. 1, 21 July 1997, pa 93-111, XP002091857 ORLANDO US see the whole document	ages						
X	DE 44 35 907 A (GISSMANN L;ZHOU of M) 11 April 1996 see the whole document	1-5, 10-16						
		-/						
X Furth	ner documents are listed in the continuation of box C.	X Patent family members are listed	in annex.					
* Special ca	tegories of cited documents :	"T" later document published after the inte	mational tiling data					
consid	ent defining the general state of the land which is not ered to be of particular relevance	"T" later document published after the linte or priority date and not in conflict with cited to understand the principle or the invention	the application but					
filing d	"E" earlier document but published on or after the international filling date "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone							
citation	ent referring to an oral disclosure, use, exhibition or	"Y" document of particular relevance; the c cannot be considered to involve an in- document is combined with one or mo	rentive step when the re other such docu-					
other n "P" docume later th	nt published prior to the international filling date but	ments, such combination being obvior in the art. "&" document member of the same patent	is to a person skilled					
Date of the a	actual completion of the international search	Date of mailing of the international sea						
1	February 1999	16/02/1999						
Name and m	nailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk	Authorized officer						
	Tel. (+31-70) 340-2040, Tx. 31 651 epo ni. Fax: (+31-70) 340-3016	Cupido, M						

Inte onal Application No PCT/US 98/20965

DERED TO BE RELEVANT Indication, where appropriate, of the relevant passages	Relevant to claim No.
ndication, where appropriate, of the relevant passages	Relevant to daim No.
IROLOGY, 4, April 1997, pages 2002091858	1-4,9
lomavirus type-1 L1 protein is for capsid formation" 1, 1 September 1996, pages	1-16
ION OF HUMAN PAPILLOMAVIRUS AND 18 USING RECOMBINANT ARTICLES" ENERAL VIROLOGY, 9, September 1904, pages	1-16
5	1-16
	ia coli" IROLOGY, 4, April 1997, pages P002091858 IETY FOR MICROBIOLOGY US T AL.: "Carboxy terminus of lomavirus type-1 L1 protein is for capsid formation" 1, 1 September 1996, pages 02091859 AL: "SEROLOGICAL ION OF HUMAN PAPILLOMAVIRUS AND 18 USING RECOMBINANT ARTICLES" ENERAL VIROLOGY, 9, September 1904, pages P000604635 A (US DEPARTMENT OF HEALTH) 5 1-7

mational application No.

PCT/US 98/20965

Box I	Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)
This Inte	rnational Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
	Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely: Remark: Although claims 15 and 16 are directed to a method of treatment of the human or animal body, the search has been carried out and based on the alleged effects of the vaccine formulation.
	Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
	Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II	Observations where unity of invention is lacking(Continuation of item 2 of first sheet)
This Inter	national Searching Authority found multiple inventions in this international application, as follows:
1.	As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2.	As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invitepayment of any additional fee.
з. 🔲 ;	As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. 🗌	No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is estricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark o	The additional search fees were accompanied by the applicant's protest. No protest accompanied the payment of additional search fees.

...formation on patent family members

Inter anal Application No PCT/US 98/20965

Patent document cited in search report		Publication date	Patent family member(S)		Publication date
DE 4435907	Α	11-04-1996	AU CA WO EP	4270196 A 2202090 A 9611272 A 0809700 A	02-05-1996 18-04-1996 18-04-1996 03-12-1997
WO 9611274	Α	18-04-1996	US AU EP JP US	5618536 A 3828495 A 0789766 A 10506796 T 5855891 A	08-04-1997 02-05-1996 20-08-1997 07-07-1998 05-01-1999

THIS PAGE BLANK (USPTO)